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### SUBSURFACE EXPLORATION PROPOSED DOUGLAS CORNERS 150 NE DOUGLAS ROAD LEE'S SUMMIT, MISSOURI

Prepared for: Hg CONSULT, INC. LEE'S SUMMIT, MISSOURI

Prepared by:

GEOTECHNOLOGY, INC. OVERLAND PARK, KANSAS

> Date: MAY 22, 2018

Geotechnology Project No.: J032145.01

> SAFETY QUALITY INTEGRITY PARTNERSHIP OPPORTUNITY RESPONSIVENESS



May 22, 2018

Mr. Kevin Sterrett, P.E. Hg Consult, Inc. 1411 NE Todd George Road Lee's Summit, Missouri 64086

Re: Subsurface Exploration Proposed Douglas Corners 150 NE Douglas Road Lee's Summit, Missouri Geotechnology Project No. J032145.01

Dear Mr. Sterrett:

Presented in this report are the results of the subsurface exploration conducted for the referenced project. This exploration was conducted in general accordance with our proposal P032145.01 dated April 20, 2018. This report includes our project understanding, observed site conditions, conclusions and/or recommendations, and support data as given in the Table of Contents.

It has been our pleasure to provide geotechnical services to you, and we would welcome the opportunity to provide other services during the course of the project. Please contact either of the undersigned if you need further information about this document.

Respectfully submitted,

**GEOTECHNOLOGY, INC.** 

Rob Jeronimus, E.I.T. Engineer

RFJ/MHM:rfj/ljd





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### SUBSURFACE EXPLORATION PROPOSED DOUGLAS CORNERS 150 NE DOUGLAS ROAD LEE'S SUMMIT, MISSOURI May 22, 2018 Geotechnology Project No. J032145.01

### **1.0 EXECUTIVE SUMMARY**

The executive summary is provided solely for the purposes of overview, and a number of details are omitted, any one of which could be crucial to the proper application of this report.

The project consists of the construction of a two-story, slab-on-grade retail/apartment building.

- The general stratigraphy of this site consists of approximately 2 feet of fill underlain by medium stiff to stiff, fat clay to a depth of approximately 12 feet. Below the fat clay in one boring slightly weathered shale was sampled to the depth explored of 20 feet. Auger refusal occurred in a second boring at a depth of approximately 12 feet.
- The concrete pavement core was measured to be 7 inches thick and was underlain by 5 inches of crushed rock base course.
- The fill should be considered uncontrolled and unsuitable for support of the structure. Overexcavation of the fill and replacement with compacted fill is recommended. Additional fill remediation criteria are given herein.
- Fat clay below floor slabs must be remediated as discussed herein. Fat clay occurring at pavement subgrade could also be remediated as a measure to improve pavement performance.
- The building may be supported on shallow foundations. Strip and spread footings bearing on firm, native soil and/or engineered fill may be proportioned for net allowable bearing pressures of 1,500 and 2,000 pounds per square feet (psf), respectively.
- Based on the results of the borings, and the general procedures of the 2012 Edition of the International Building Code (IBC), and provided the existing fill is remediated as discussed herein, the soil profile at the project site may be defined as Site Class C (Very Dense Soil and Soft Rock).

### 2.0 PROJECT DATA

### 2.1 Authorization

The services documented in this report were provided in general accordance with the scope of services described in Geotechnology's proposal P032145.01 dated April 20, 2018. The project was authorized by a representative of the Hg Consult, Inc.



### 2.2 Purpose and Scope of Services

The purpose of our services was to develop recommendations for geotechnical aspects of the design and construction of the project as defined in the scope of services of the referenced proposal. Briefly, geotechnical services consisted of site reconnaissance, drilling three borings, performing one pavement core, laboratory testing, engineering analyses and preparation of this report. Important information prepared by the Geotechnical Business Council for studies of the type is included in Appendix A for your review.

### 2.3 Project Description and Site Location

The project includes the construction of an 8,000-square-foot, two-story, slab-on-grade building. Structural loads were not provided. Based on our experience with similar structures, column and wall loads of up to 150 kips and 6 kips per lineal foot, respectively, are anticipated. Planned grades were not provided but have been assumed to be near existing grades. Consequently, site grading is anticipated to consist of cut and fill amounts of less than 3 feet. Additionally, we understand that the pavements will be subjected to light duty automobile traffic (i.e. passenger vehicles, pickup trucks and SUVs) and an occasional fire truck. We also understand underground utilities will be at a depth of less than 10 feet.

The rectangular project site is located at the northeast corner of NE Tudor Rd and NE Douglas Street. Based on historical aerial photographs, the west half of the site was previously occupied by a single-story, covered parking structure with pavement covering the remainder of the site. Currently, the east and north half of the site includes vegetation, and the southwest portion is a concrete pavement from the previous structure. Overall, the site is relatively flat; however, the northwest portion of the site is approximately 3 to 4 feet higher than the southeast portion of the site. The site location and general topography of the area as per the 2015 U.S.G.S. maps of the vicinity are shown on Plate 1.

### 3.0 FIELD EXPLORATION AND LABORATORY TESTING

### 3.1 Field Exploration

The field exploration included drilling three borings and performing one pavement core, designated as Borings B-1 through -3 and Core C-1 at approximately the locations shown on Plate 2. An engineer from Geotechnology located the borings in the field by measuring distances from site features. The elevations at the boring locations were approximated using Google Earth software. A registered land surveyor should establish the boring locations if more accurate measurements are required.

The borings were drilled using an all-terrain Diedrich D-50 rotary drill rig equipped with 4-inch diameter flight augers. Standard Penetration Tests (SPTs) were performed using an automatic hammer. Split spoon and Shelby tube samples were obtained at the depths indicated on the boring logs presented in Appendix B. An explanation of the terms and symbols used on the boring logs is also included in Appendix B.



An engineer from Geotechnology provided direction during field exploration, observed drilling and sampling, and prepared logs of the material encountered. The boring logs represent conditions observed at the time of exploration, and have been edited by a professional engineer to incorporate results of the laboratory tests.

Unless noted on the boring logs, the lines designating the changes between various strata represent approximate boundaries. The transition between materials might be gradual or might occur between recovered samples. The stratification given on the boring logs, or described herein, is for use by Geotechnology in its analyses and should not be used as the basis of design or construction cost estimates without realizing that there can be variation from that shown or described.

The boring logs and related information depict subsurface conditions only at the specific locations and time where sampling was conducted. The passage of time might result in changes in conditions, interpreted to exist, at or between the locations where sampling was conducted.

### 3.2 Laboratory Testing

Laboratory testing was performed on fine-grained soil and shale samples to estimate engineering and index properties. Moisture content tests were performed on each sample. Atterberg limits tests were performed on selected fine-grained soil samples. Dry unit weight determinations and unconfined compressive strength tests were performed on the Shelby tube samples. Results of the laboratory tests are presented on the boring logs.

### 4.0 SUBSURFACE CONDITIONS

### 4.1 Stratigraphy

<u>Surface Cover</u>. Boring B-1 was drilled in a crushed rock area; the crushed rock was measured to be approximately 6 inches thick. Borings B-2 and -3 were drilled a few feet to the east of the existing pavement area in an area surface with vegetation. Core C-1 was located in the existing pavement area; at this location the pavement section consisted of approximately 7 inches of concrete underlain by 5 inches of crushed rock.

<u>Sewer Line (Boring B-1)</u>. Below the surficial materials, the stratigraphy at Boring B-1 consists of light brown fat clay fill to a depth of 2 feet underlain by medium stiff to soft, mottled gray brown to reddish tan, fat clay to the explored depth of 10 feet.

<u>Building Footprint (Borings B-2 and -3).</u> Below the surficial materials at Boring B-2, the stratigraphy consists of brown, fat clay fill to a depth of 2 feet underlain by native, medium stiff to stiff, brown to tannish red, fat clay, to a depth of 12 feet underlain, in turn, by slightly weathered shale to the depth explored of 20 feet. Below the surficial materials at Boring B-3, the stratigraphy consists of stiff to medium stiff, mottled tan and dark brown to rust brown, fat clay to the auger refusal depth of 11.5 feet.



### 4.2 Groundwater

Groundwater was observed at depths of 6 feet to 9 feet at Borings B-1 and -3. Water was encountered at a depth of 3 feet in Boring B-2. This boring is located near the edge of the existing pavement and the water could be due to seepage from the nearby pavement base course (the site had received rain the day before drilling).

Groundwater levels might not have stabilized, particularly in less permeable cohesive soil, prior to backfilling. Water might also be trapped in permeable pockets of fill, in pavement base course, or in utility trenches backfilled with clean rock. Consequently, the indicated groundwater levels might not represent present or future levels. Groundwater levels might vary over time due to the effects of seasonal variation in precipitation, or other factors not evident at the time of exploration. Excavations that remain open might collect water.

### 5.0 DESIGN CONSIDERATIONS AND RECOMMENDATIONS

Geotechnology should be allowed to review final grading and foundation plans to check that our recommendations have been properly implemented. If the structure loads, elevations or locations vary from those discussed, the recommendations herein might require modifications, and/or additional field exploration and related analysis might be required. Geotechnical features that will influence site development include the potential presence of previous structures; the presence of fill and fat clay, and the shallow depth to shale bedrock. A discussion of each of these features follows.

<u>Previous Structures</u>. Based on review of historical aerial photographs, the site was previously occupied by a covered parking structure. Details of the demolition of this structure are not known. Former footings, floor slabs and abandoned utilities, if present, should be removed and the overexcavations backfilled with engineered fill as discussed herein.

<u>Existing Fill</u>. Loose fill was encountered to a depth of approximately 2 feet in Borings B-1 and -2. Fill is likely in unexplored areas of the site. The extent of the existing fill might not be fully known until construction. The fill should be considered uncontrolled, unsuitable for support of the planned structure, and fully removed.

<u>Fat Clay</u>. Fat clay was encountered in the borings. These materials have the potential for volume change due to fluctuations in moisture content throughout the life of the structure. Swelling and consequent heaving of floors and pavements can occur when a fat clay subgrade absorbs moisture. Alternatively, shrinkage and consequent loss of subgrade support can occur when a fat clay subgrade desiccates. Remediation of fat clay occurring within the upper portion of floor slab subgrade is required, and criteria are given herein. As a measure to improve pavement performance, fat clay occurring at subgrade in new pavement areas could also be remediated.

### 5.1 Site Grading

<u>Site Preparation</u>. In general, the site should be stripped of existing fill, soft soil, remnants of previous structures, and other deleterious materials. Proofrolling with a tandem axle dump truck



loaded to approximately 20,000 pounds per axle (or equivalent proofrolling equipment) can be considered as a means of evaluating the subgrade. Soft areas that develop should be overexcavated and backfilled with engineered fill compacted to the density outlined in the Compaction Summary.

<u>Remediation of Fat Clay</u>. Fat clay should be remediated to a depth of at least 2 feet below floor slab subgrade. As a measure to improve performance, fat clay could also be remediated to a depth of 12 inches below new pavement sections. The overexcavations may be backfilled with low plasticity soil (liquid limit less than 45 percent) or well-graded crushed limestone with a 2-inch maximum particle size. Chemical remediation of fat clay using lime or fly ash is not advised due to the urban nature of the project and the potential for these caustic materials to become airborne.

The method of treatment previously suggested is based on generally accepted standards in the local engineering community. Clay properties, including plasticity, moisture content, density, swell pressure, and mineralogy are extremely variable and could, in some instances, be conducive to more severe swell pressures and volume change potential than can be mitigated by nominal treatment. Consequently, when building in an area where fat clay is present, the owner should recognize that there is an inherent risk that damage associated with shrink or swell of the soil could occur, even with remedial treatment of subgrade soil.

<u>Suitable Fill Materials</u>. On-site materials generated from excavations are expected to include fat clay. Fat clay may be used for fill provided the material is moisture conditioned and free of deleterious materials; however, fat clay should be restricted to pavement areas only and used below a depth of 2 feet due to its expansive nature.

Imported fill should consist of lean clay (liquid limit value of 45 percent or less) and well-graded crushed limestone with a 2-inch maximum particle size.

<u>Fill and Backfill Placement</u>. Fill or backfill should be placed in uniform lifts and compacted. The loose lift thickness should not exceed 8 inches. The fill should be systematically compacted to the level given in the Compaction Summary. The soil should be placed at moisture contents compatible with the required unit weight. Depending on the soil moisture at the time of construction, drying or wetting might be required to achieve compaction. Deleterious material should not be included in fill, and the fill should not be placed on soft materials or frozen ground.

Category	Minimum Compaction <sup>a</sup>		
Fine-Grained Soil	059/b		
Pavement Subgrade	95%		
Crushed Limestone	100%		

<sup>a</sup> Measured as a percent of the maximum unit weight as determined by the modified Proctor test (ASTM D 1557).

<sup>b</sup> Moisture content within minus 1 to plus 3 percent of the optimum moisture content.

<u>Trench Backfill</u>. Settlement of trench backfill can result in unsightly depressions and localized slab and pavement failures. The magnitude of settlement can be substantially reduced by mechanical compaction of the trench backfill. In floor slab and pavement areas, well-graded crushed rock compacted to the minimum level specified in the Compaction Summary should be used as trench backfill. Poorly-graded (clean) rock must not be used for trench backfill. Clean rock can collect water which can soften the underlying cohesive soils, or lead to the migration of fines and loss of subgrade support, or in the presence of fat clay, could lead to heaving.

<u>Subgrade Protection</u>. Drainage of the construction areas should be provided to protect foundation, floor slab and pavement subgrades from the detrimental effects of weather during construction. Finished subgrades and foundation excavations should be kept free of standing water. Concrete should be placed in foundation excavations the same day they are completed.

Subgrades will be exposed to weather and disturbances from the installation of utilities and normal construction traffic. Disturbance is relatively easy to repair in drier months by reworking of the upper soils. During wetter periods of the year, such as spring and winter, considerable difficulty can be experienced. Construction traffic should be routed away from prepared subgrades.

<u>Collection and Disposal of Site Water</u>. Managing site water is important in the successful performance of foundation and pavement systems. Water from surface runoff, downspouts and subsurface drains should be collected and discharged through a site drainage system. Water should not be allowed to pond next to pavements.

Control of surface runoff should be maintained in compliance with the rules and regulations set forth in the Federal Water Pollution Control Act. Additionally, permits related to site grading activities and control of stormwater during construction activities should be obtained from the applicable governmental jurisdiction(s).

### 5.2 Temporary Excavations

If site geometry permits, it might be possible to lay slopes back to a stable configuration. The soil type encountered during excavations is anticipated to consist of medium stiff to stiff clay, which classifies as OSHA Type B soil. Temporary slopes per OSHA regulations for this OSHA soil type are 1V:1H or flatter. Per OSHA regulations, slopes below groundwater should be constructed at 1V:1.5H or flatter

The provided soil classification is the professional opinion of Geotechnology. Soil classification relative to temporary slope configuration and worker safety is the responsibility of the contractor. The contractor should be aware that excavation depths and inclinations must comply with local, state or federal safety regulations (e.g., OSHA Health and Safety Standards for Excavations, 29 CFR Part 1926, or successor regulations). Such regulations are strictly enforced and, if not followed, the contractor, or earthwork or utility subcontractors, could be subjected to substantial penalties. Construction site safety is the responsibility of the contractor, who shall also be solely responsible for the means, methods and sequencing of construction operations.



Materials can vary across the excavation, and even if the OSHA criteria are used, there is a potential for slope failure. Temporary slopes left open could undergo sloughing and result in an unstable situation. The contractor should evaluate stability and failure consequences before open cut slopes are made. Minor sloughing of open face slopes can occur. If the slope is expected to remain open for an extended time an impermeable membrane covering the slopes could be considered as a means to reduce the potential for slope degradation and instability.

### 5.3 Shallow Foundations

<u>Allowable Bearing Pressure</u>. Strip and spread footings may be proportioned for net allowable bearing pressures of 1,500 and 2,000 psf provided the footings are bearing on firm, native soil or compacted fill as discussed herein. The minimum lateral dimensions for strip and spread footings should be 18 and 24 inches, respectively. As a measure to provide protection from seasonal moisture variations and frost penetration, exterior footings and footings in unheated interior areas should bear at least 36 inches below grade.

<u>Construction Considerations</u>. If soft soil is encountered at footing bearing elevations, footing excavations should be extended through these materials to firm, native soil and the overexcavation backfilled with concrete or lean concrete.

<u>Settlement</u>. Footings, proportioned and constructed as recommended herein, could settle approximately 1 inch. Differential settlement between footings could be approximately <sup>3</sup>/<sub>4</sub> inch. Estimated values of settlement contained in this report are based on our experience with projects of a similar nature.

<u>Lateral Resistance</u>. Lateral loads may be resisted by available frictional resistance between the base of the footing and the bearing material. Resistance to sliding can be computed assuming an ultimate coefficient of friction of 0.35; however, the maximum resistance should be limited to 500 psf. Ultimate passive resistance, if required, can be computed assuming an equivalent fluid pressure of 300 pounds per cubic foot. Safety factors should be applied to determine the allowable sliding and passive resistances. Passive resistance in the top 36 inches of soil should be neglected due to seasonal variations in moisture and frost penetration.

<u>Uplift Resistance</u>. Uplift loads can be resisted with the dead weight of the footings and the structure, and frictional resistance between the sides of the footings and the soil. An allowable resistance of 350 psf can be used for frictional resistance between the sides of the footings and the soil provided the footings are earth-formed or engineered backfill is placed around them. Frictional resistance in the top 36 inches of soil should be neglected due to seasonal variations in moisture and frost penetration.

### 5.4 Floor Slabs

Existing fill and fat clay should be remediated as previously discussed. Floor slabs can be designed using a vertical subgrade modulus of 100 pounds per cubic inch (pci). Floor slabs should be underlain by a minimum 4-inch layer of compacted well-graded crushed rock. A 15-mil or thicker plastic vapor barrier can be placed below the floor in interior finished areas to reduce the potential for moisture permeation through the slab and for mold growth within the



building. Notwithstanding other structural considerations, slab-on-grade floors should be designed to allow for differential movements that normally occur between the floor slab, columns, and foundation walls.

### 5.5 Pavement Considerations

A pavement design and analysis was beyond the scope of our services. Standard pavement design for a given service life requires evaluation of the soil by CBR tests or other methods, estimates of daily traffic volumes and axle weights, drainage requirements, and the desired level of maintenance.

At the location of Core C-1, the pavement section consisted of 7 inches of concrete underlain by 5 inches of crushed rock. A photograph of the concrete core is below. Such a pavement section is expected to support the anticipated traffic.



In accordance with the City of Lee's Summit Unified Development Ordinance Section 12.120 Parking Lot Design Item F, new pavements should consist of 6 inches of Portland cement concrete underlain by 4 inches of MoDOT Type 5 compacted aggregate base course. The soil subgrade should be stable and compacted as specified in Table 1. In addition, fat clay in new pavement areas could be remediated as previously discussed. Pavement service life can decrease if the pavement is constructed on a poor subgrade, if it has poor surface or subsurface drainage, and/or if the pavement is not maintained. Periodic maintenance, such as filling cracks and sealing, is required for a pavement section to achieve its design life.

If pavements are not constructed immediately after grading, the subgrade should be shaped to prevent ponding. Minor ponding, of even short duration, can cause softening of a soil subgrade to a substantial depth. If there is substantial lapse of time between grading and paving, or if the subgrade is disturbed by construction activities, the subgrade should be proofrolled. Soft spots observed during initial construction or proofrolling should be removed and replaced with



compacted soil of the same type present in the subgrade, possibly combined with a geotextile or geogrid. The rock base course and soil subgrade should be compacted as specified in Table 1.

Depending on when the pavement is constructed, the subgrade might not support construction equipment such as rock trucks or concrete trucks, which have substantially heavier axle loads than those vehicles that the pavement section is expected to support. Such conditions will be more apparent during wetter periods of the year. Overexcavation of soft subgrade and placement of additional base course and/or geogrid could be required to construct pavements during these periods.

### 5.6 Seismicity

Per the general procedures of Section 1613.1 of the 2012 edition of the IBC, the soil profile at the project site can be defined as Class C (Very Dense Soil and Soft Rock) provided the existing fill/soft soil is remediated as discussed herein. Based on the computer program *U.S. Seismic Design Maps Web Application* prepared by the United States Geological Survey (USGS), the mapped maximum considered earthquake spectral response acceleration is approximately 11.4 percent gravity (0.114 g) at short periods ( $S_s$ ) and 6.7 percent gravity (0.067 g) at 1-second periods ( $S_1$ ).

### 6.0 RECOMMENDED ADDITIONAL SERVICES

The conclusions and recommendations given in this report are based on: Geotechnology's understanding of the proposed design and construction, as outlined in this report; site observations; interpretation of the exploration data; and our experience. Since the intent of the design recommendations is best understood by Geotechnology, we recommend that Geotechnology be included in the final design and construction process, and be retained to review the project plans and specifications to confirm that the recommendations given in this report have been correctly implemented. We recommend that Geotechnology be retained to participate in prebid and preconstruction conferences to reduce the risk of misinterpretation of the conclusions and recommendations in this report relative to the proposed construction of the subject project.

Since actual subsurface conditions between boring locations may vary from those encountered in the borings, our design recommendations are subject to adjustment in the field based on the subsurface conditions encountered during construction. Therefore, we recommend that Geotechnology be retained to provide construction observation services as a continuation of the design process to confirm the recommendations in this report and to revise them accordingly to accommodate differing subsurface conditions. Construction observation is intended to enhance compliance with project plans and specifications. It is not insurance, nor does it constitute a warranty or guarantee of any type. Regardless of construction observation, contractors, suppliers, and others are solely responsible for the quality of their work and for adhering to plans and specifications.



### 7.0 LIMITATIONS OF REPORT

This report has been prepared on behalf of, and for the exclusive use of the client for specific application to the named project as described herein. If this report is provided to other parties, it should be provided in its entirety with all supplementary information. In addition, the client should make it clear that the information is provided for factual data only, and not as a warranty of subsurface conditions presented in this report.

Geotechnology has attempted to conduct the services reported herein in a manner consistent with that level of care and skill ordinarily exercised by members of the profession currently practicing in the same locality and under similar conditions. The recommendations and conclusions contained in this report are professional opinions. The report is not a bidding document and should not be used for that purpose.

Our scope of service for this phase of the project did not include any environmental assessment or investigation for the presence or absence of wetlands or hazardous or toxic materials in the soil, surface water, groundwater, or air, on or below or around this site. Any statements in this report or on the boring logs regarding odors noted or unusual or suspicious items or conditions observed are strictly for the information of our client. Our scope of service did not include an assessment of the effects of flooding and erosion of creeks or rivers adjacent to or on the project site.

The analyses, conclusions, and recommendations contained in this report are based on the data obtained from the subsurface exploration. The field exploration methods used indicate subsurface conditions only at the specific locations where samples were obtained, only at the time they were obtained, and only to the depths penetrated. Consequently, subsurface conditions may vary gradually, abruptly, and/or nonlinearly between sample locations and/or intervals.

The conclusions or recommendations presented in this report should not be used without Geotechnology's review and assessment if the nature, design, or location of the facilities is changed, if there is a substantial lapse in time between the submittal of this report and the start of work at the site, or if there is a substantial interruption or delay during work at the site. If changes are contemplated or delays occur, Geotechnology must be allowed to review them to assess their impact on the findings, conclusions, and/or design recommendations given in this report. Geotechnology will not be responsible for any claims, damages, or liability associated with any other party's interpretations of the subsurface data or with reuse of the subsurface data or engineering analyses in this report.

The recommendations included in this report have been based in part on assumptions about variations in site stratigraphy that may be evaluated further during earthwork and foundation construction. Geotechnology should be retained to perform construction observation and continue its geotechnical engineering service using observational methods. Geotechnology cannot assume liability for the adequacy of its recommendations when they are used in the field without Geotechnology being retained to observe construction.



A copy of "Important Information about This Geotechnical-Engineering Report" that is published by the Geotechnical Business Council (GBC) of the Geoprofessional Business Association (GBA) is included in Appendix A for your review. The publication discusses some other limitations, as well as ways to manage risk associated with subsurface conditions.





#### NOTES

- 1. Plan adapted from a June 10, 2017 aerial photograph courtesy of Google Earth and a drawing dated April 13, 2018 titled "Concept Plan D" prepared by Hg Consult, Inc.
- 2. Borings were located in the field with reference to site features and are shown approximate only.

#### LEGEND



Pavement Core Location

SCALE IN FEET

120

60

0



### APPENDIX A

Important Information about This Geotechnical-Engineering Report

## Important Information about This Geotechnical-Engineering Report

Subsurface problems are a principal cause of construction delays, cost overruns, claims, and disputes.

While you cannot eliminate all such risks, you can manage them. The following information is provided to help.

### Geotechnical Services Are Performed for Specific Purposes, Persons, and Projects

Geotechnical engineers structure their services to meet the specific needs of their clients. A geotechnical-engineering study conducted for a civil engineer may not fulfill the needs of a constructor — a construction contractor — or even another civil engineer. Because each geotechnical- engineering study is unique, each geotechnical-engineering report is unique, prepared *solely* for the client. No one except you should rely on this geotechnical-engineering report without first conferring with the geotechnical engineer who prepared it. *And no one* — *not even you* — should apply this report for any purpose or project except the one originally contemplated.

#### **Read the Full Report**

Serious problems have occurred because those relying on a geotechnical-engineering report did not read it all. Do not rely on an executive summary. Do not read selected elements only.

### Geotechnical Engineers Base Each Report on a Unique Set of Project-Specific Factors

Geotechnical engineers consider many unique, project-specific factors when establishing the scope of a study. Typical factors include: the client's goals, objectives, and risk-management preferences; the general nature of the structure involved, its size, and configuration; the location of the structure on the site; and other planned or existing site improvements, such as access roads, parking lots, and underground utilities. Unless the geotechnical engineer who conducted the study specifically indicates otherwise, do not rely on a geotechnical-engineering report that was:

- not prepared for you;
- not prepared for your project;
- not prepared for the specific site explored; or
- completed before important project changes were made.

Typical changes that can erode the reliability of an existing geotechnical-engineering report include those that affect:

- the function of the proposed structure, as when it's changed from a parking garage to an office building, or from a lightindustrial plant to a refrigerated warehouse;
- the elevation, configuration, location, orientation, or weight of the proposed structure;
- the composition of the design team; or
- project ownership.

As a general rule, *always* inform your geotechnical engineer of project changes—even minor ones—and request an

assessment of their impact. *Geotechnical engineers cannot* accept responsibility or liability for problems that occur because their reports do not consider developments of which they were not informed.

### Subsurface Conditions Can Change

A geotechnical-engineering report is based on conditions that existed at the time the geotechnical engineer performed the study. *Do not rely on a geotechnical-engineering report whose adequacy may have been affected by*: the passage of time; man-made events, such as construction on or adjacent to the site; or natural events, such as floods, droughts, earthquakes, or groundwater fluctuations. *Contact the geotechnical engineer before applying this report to determine if it is still reliable.* A minor amount of additional testing or analysis could prevent major problems.

#### Most Geotechnical Findings Are Professional Opinions

Site exploration identifies subsurface conditions only at those points where subsurface tests are conducted or samples are taken. Geotechnical engineers review field and laboratory data and then apply their professional judgment to render an opinion about subsurface conditions throughout the site. Actual subsurface conditions may differ — sometimes significantly — from those indicated in your report. Retaining the geotechnical engineer who developed your report to provide geotechnical-construction observation is the most effective method of managing the risks associated with unanticipated conditions.

### A Report's Recommendations Are Not Final

Do not overrely on the confirmation-dependent recommendations included in your report. *Confirmationdependent recommendations are not final*, because geotechnical engineers develop them principally from judgment and opinion. Geotechnical engineers can finalize their recommendations *only* by observing actual subsurface conditions revealed during construction. *The geotechnical engineer who developed your report cannot assume responsibility or liability for the report's confirmation-dependent recommendations if that engineer does not perform the geotechnical-construction observation required to confirm the recommendations' applicability.* 

### A Geotechnical-Engineering Report Is Subject to Misinterpretation

Other design-team members' misinterpretation of geotechnical-engineering reports has resulted in costly

problems. Confront that risk by having your geotechnical engineer confer with appropriate members of the design team after submitting the report. Also retain your geotechnical engineer to review pertinent elements of the design team's plans and specifications. Constructors can also misinterpret a geotechnical-engineering report. Confront that risk by having your geotechnical engineer participate in prebid and preconstruction conferences, and by providing geotechnical construction observation.

#### Do Not Redraw the Engineer's Logs

Geotechnical engineers prepare final boring and testing logs based upon their interpretation of field logs and laboratory data. To prevent errors or omissions, the logs included in a geotechnical-engineering report should *never* be redrawn for inclusion in architectural or other design drawings. Only photographic or electronic reproduction is acceptable, *but recognize that separating logs from the report can elevate risk.* 

### Give Constructors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can make constructors liable for unanticipated subsurface conditions by limiting what they provide for bid preparation. To help prevent costly problems, give constructors the complete geotechnical-engineering report, but preface it with a clearly written letter of transmittal. In that letter, advise constructors that the report was not prepared for purposes of bid development and that the report's accuracy is limited; encourage them to confer with the geotechnical engineer who prepared the report (a modest fee may be required) and/ or to conduct additional study to obtain the specific types of information they need or prefer. A prebid conference can also be valuable. *Be sure constructors have sufficient time* to perform additional study. Only then might you be in a position to give constructors the best information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions.

#### **Read Responsibility Provisions Closely**

Some clients, design professionals, and constructors fail to recognize that geotechnical engineering is far less exact than other engineering disciplines. This lack of understanding has created unrealistic expectations that have led to disappointments, claims, and disputes. To help reduce the risk of such outcomes, geotechnical engineers commonly include a variety of explanatory provisions in their reports. Sometimes labeled "limitations," many of these provisions indicate where geotechnical engineers' responsibilities begin and end, to help others recognize their own responsibilities and risks. *Read these provisions closely*. Ask questions. Your geotechnical engineer should respond fully and frankly.

#### **Environmental Concerns Are Not Covered**

The equipment, techniques, and personnel used to perform an *environmental* study differ significantly from those used to perform a *geotechnical* study. For that reason, a geotechnicalengineering report does not usually relate any environmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. *Unanticipated environmental problems have led to numerous project failures*. If you have not yet obtained your own environmental information, ask your geotechnical consultant for risk-management guidance. *Do not rely on an environmental report prepared for someone else.* 

### Obtain Professional Assistance To Deal with Mold

Diverse strategies can be applied during building design, construction, operation, and maintenance to prevent significant amounts of mold from growing on indoor surfaces. To be effective, all such strategies should be devised for the express purpose of mold prevention, integrated into a comprehensive plan, and executed with diligent oversight by a professional mold-prevention consultant. Because just a small amount of water or moisture can lead to the development of severe mold infestations, many mold- prevention strategies focus on keeping building surfaces dry. While groundwater, water infiltration, and similar issues may have been addressed as part of the geotechnical- engineering study whose findings are conveyed in this report, the geotechnical engineer in charge of this project is not a mold prevention consultant; none of the services performed in connection with the geotechnical engineer's study were designed or conducted for the purpose of mold prevention. Proper implementation of the recommendations conveyed in this report will not of itself be sufficient to prevent mold from growing in or on the structure involved.

### Rely, on Your GBC-Member Geotechnical Engineer for Additional Assistance

Membership in the Geotechnical Business Council of the Geoprofessional Business Association exposes geotechnical engineers to a wide array of risk-confrontation techniques that can be of genuine benefit for everyone involved with a construction project. Confer with you GBC-Member geotechnical engineer for more information.



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### APPENDIX B

Logs of borings B-1 through -3 Boring Log: Terms and Symbols Rock: Terms and Symbols

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GROUNDWATER DATA       DRILLING DATA         ENCOUNTERED AT 3_ FEET       AUGERHOLLOW STEM         4" AUGERHOLLOW STEM       AugerHOLLOW STEM         WASHBORING FROMFEET       ALL DRILLERRFJ_LOGGER        Diedrich D-50       DRILL RIG         HAMMER TYPE Auto       HOULOW STEM         REMARKS: Groundwater observed could be trapped in nearby pavement base course.       Drawn by: RFJCk'd. by: RFJApp'vd. by: MHM		4								
GROUNDWATER DATA       DRILLING DATA         ENCOUNTERED AT 3 FEET <b>DRILLING DATA</b> <b>4</b> <sup>*</sup> AUGERHOLLOW STEM         WASHBORING FROMFEET ALL DRILLER <u>RFJ</u> LOGGER <u>Diedrich D-50</u> DRILL RIG         HAMMER TYPE <u>Auto</u> Date: 5/16/18 Date: 5/16/18										
OKOUNDWATER DATA       DRILLING DATA         ENCOUNTERED AT 3 FEET       4" AUGERHOLLOW STEM WASHBORING FROMFEET ALL DRILLER _RFJ LOGGER Diedrich D-50 DRILL RIG HAMMER TYPE Auto       Date: 5/16/18 Date: 5/16/18         REMARKS: Groundwater observed could be trapped in nearby pavement base course.       Date: 5/16/18 Date: 5/16/18			ATA DOU'		1		Drawn by: RFJ	Ck'd. by: RFJ	App	'vd. by: MHM
ENCOUNTERED AT 3 FEET       4" AUGERHOLLOW STEM         WASHBORING FROMFEET         ALL DRILLER RFJ LOGGER         Diedrich D-50 DRILL RIG         HAMMER TYPE Auto         REMARKS: Groundwater observed could be trapped in nearby pavement base course.		GROUNDWATER D					Date: 5/2/18	Date: 5/16/18	Date	e: 5/16/18
ENCOUNTERED AT 3 FEET       WASHBORING FROM _ FEET         ALL DRILLER RFJ LOGGER         Diedrich D-50 DRILL RIG         HAMMER TYPE Auto         REMARKS: Groundwater observed could be trapped in nearby pavement base course.			_4"_ AUGER	HOLLOV	V STEM			000000		
ALL DRILLER RFJ LOGGER       Diedrich D-50 DRILL RIG         Diedrich D-50 DRILL RIG       HAMMER TYPE Auto         REMARKS: Groundwater observed could be trapped in nearby pavement base course.       Proposed Douglas Corners 150 NE Douglas Road         Lee's Summit, Missouri       LOG OF BORING: B-2	<b>I</b> <sup>6</sup>	ENCOUNTERED AT 3	FEET WASHBORIN	IG FROM	FEET			ULUILUH	NUL	Juis
Diedrich D-50       DRILL RIG         HAMMER TYPE       Auto         REMARKS:       Groundwater observed could be trapped in nearby pavement base course.         LOG OF BORING:       B-2			<u>ALL</u> DRILLE	R <u>RFJ</u> LC	GGER				FROM TH	E GROUND UP
HAMMER TYPE Auto       150 NE Douglas Road         REMARKS: Groundwater observed could be trapped in nearby pavement base course.       LOG OF BORING: B-2			 Diedrich I	D-50 DRILL F	RIG		Propos	ed Doual	as C	orners
REMARKS: Groundwater observed could be trapped in nearby pavement base course. LOG OF BORING: B-2				R TYPE Aut	0		150 N		as R	oad
REMARKS: Groundwater observed could be trapped in nearby pavement base course.					<u> </u>			Summit	Miee	ouri
base course. LOG OF BORING: B-2	RF	MARKS: Groundwa	ter observed could be tranned i	n nearby na	vement			<u></u>		
	bas	se course.							NC	<b>B_</b> 2
									NG.	0-2
-										
Project No. J032145.01										

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	Surfa	ace Elevation 1019		(pc /RQ		∆ - UU/2	○ - QU/2	🗆 - PP/2				
		D WGS84			00	RYNN NUN NUN	S	0.5	1.0 1.5	2.0 2.5		
		Datum VIGO04	U L		LE L	STANDARD	PENETRATION	RESISTANCE				
				IH d	РН		AMF	(ASTM D 1586)				
	EET	DESCO			GR/		Ś	▲ N-VA	ALUE (BLOWS PE	ER FOOT)		
	IN DEF	DESCR				RY SP1 ORI		PI W	ATER CONTEN	T, %		
						ē ö		10	20 30	40 50 <b>ILL</b>		
		TOPSOIL - 6 inche	es									
		CLAY - mottled tai stiff to medium stif	n and dark brown to	rust brown, fat,								
						3-4-6	SS1		I	<u> </u>		
						245	662					
	_					3-4-3	332					
	- 5-											
Υ. Έ						95	ST3		· · · · · · · · · · · · · · · · · · ·			
NL Y						00						
V SO SES												
RPO					<b>∑////</b>	0.0.0	004					
N PU						2-3-3	554					
ATIO	- 10-											
NDAF ISTR.												
BOU		Auger refusal at 1	1.5 feet.									
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		GROUNDWATER DA	ATA	DRILLING	DATA			Drawn by: RFJ	Ck'd. by: RFJ	App'vd. by: MHM		
								Date: 5/2/18	Date: 5/16/18	Date: 5/16/18		
	Е	NCOUNTERED AT 9	FEET						GENTECHN	UIUGYZ		
		· · · · ·							aloiloini	ROM THE GROUND UP		
				<u>ALL</u> URILLER	נ <u>וא LU</u> ייוופס			Propos		Cornere		
								150	NE Dougla	s Boad		
						<u>,</u>			Summit N	lissouri		
	REM	ARKS:							Summe, N			
								LOG	OF BORIN	G: B-3		
								Dr	oject No 10324	45 01		
Į								F1	0,001110. 0002			

### **BORING LOG: TERMS AND SYMBOLS**

### **GENERAL NOTES**

	GENERAL N	OTES	LEGEND			
1.	Information on each boring log is	a compilation of subsurface	CS	Continuous Sample	r	
	field as well as from laboratory testil	ng of samples. The strata lines				
	on the logs may be approximate or to	he transition between the strata	GB	Grab Sample Taker	n From Auger Cuttings or	
	only to those observed at the times and places indicated, and may			Wash Water Return	l	
2	vary with time, geologic condition or o	construction activity.	NX			
Ζ.	based on visual estimates and are a	approximate only. If laboratory	<u>100</u>	NX Rock Core with	Percent Recovery/R.Q.D.	
	tests were performed to classify the	soil, the unified designation is	42			
3.	Value given in Unit Drv Weight/SP	PT Column is either a unit dry	PST	Three Inch Diamete	r Piston Tube Sample	
	weight in pounds per cubic foot,	if adjacent to a ST sample				
	sample designation.	ncrement if adjacent to a SS	SS	Split Spoon Sample	e (Standard Penetration Test)	
	ABBREVIATI	ONS				
UU	2 Shear Strength from Unconso	lidated – Undrained	ST	Three Inch Diamete	r Shelby Tube Sample	
011	Triaxial Test (ASTM D2850)	ned Compression	*	Sample Not Recove	ared	
QU	Test (ASTM D2166)	led compression				
S	V Shear Strength from Field Val	ne (ASTM D2573)	SV	Field Vane Test		
F	L Plastic Limit (ASTM D4318)		- 50			
L		I IT - BARREI SAMPI				
Blow	per Foot (N-Value)		Descrip	tion	achoe of ecoting	
	25 75/10"		s drove samp s drove samp	ler 10 inches after initial 6 in	ches of seating.	
NOTES	50/S3" : 1. To avoid damage to sampling tools.		ove sampler 3 nv six inch int	ו inches during initial 6 inch מ erval.	seating interval.	
	2. N-Value (Blow Count) is the standa	rd penetration resistance based on th	e total number	of blows, using a 140-lb hai	mmer with 30-inch free fall, required	
	may be shown as 4/7/9 in Unit Dry We	ight – SPT column.	. 4/7/3, 11 = 7	+ 9 = 10). Values are shown	n as a summation on ghu piot and	
RE	LATIVE COMPOSITION	STRENG	TH OF	COHESIVE SO	DILS	
ira	ce0-10 %	UINENU			DIEU	
Wit	h/Some 11-35 %	Undrain	d Shoar			
Wit Soi	h/Some11-35 %   modifier such > 35 %	Undraine Consistency Strengt	ed Shear h Tons	Field Test	Approximate	
Wit Soi A	h/Some11-35 % modifier such > 35 % Is silty, clayey, sandy, etc.	Undraine Consistency Strenge Per S	ed Shear h Tons q. Ft.	Field Test	Approximate N-Value Range	
Wit Soi A	h/Some	Undraine Consistency Streng Per S Very Soft less th	ed Shear h Tons q. Ft. an 0.12	<b>Field Test</b>	Approximate N-Value Range etrate soil more than 1" 0 -	1
Wit Soi A	h/Some	Undraine Consistency Strengt Per S Very Soft less th Soft	ed Shear h Tons q. Ft. an 0.12 0.25	Field Test Thumb will pene 	Approximate N-Value Range etrate soil more than 1" 0 - etrate soil about 1" 2 - 4	1
Wit Soi A Desc Very	n/Some	Undraine Consistency Strenge Per S Very Soft less th Soft 0.13 to Medium Stiff 0.26 to Stiff 0.51 to	ed Shear h Tons q. Ft. 0.25 1.00	Field Test Thumb will pene Thumb will pene Thumb will pene 	Approximate N-Value Range etrate soil more than 1" 0 - etrate soil about 1" 2 - 4 etrate soil about ¼" 5 - 8 ndents soil	1 4 5
Wit Soi A Deso Very Loos Med	h/Some	ConsistencyUndraine Strenge Per SVery Softless th 0.13 to 0.26 to StiffMedium Stiff0.26 to 0.51 to 1.01 to	ed Shear h Tons q. Ft. an 0.12 0.25 0.50 1.00 2.00	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb hardly ir Thumb will not i	Approximate N-Value Range etrate soil more than 1" 0 - etrate soil about 1" 2 - 4 etrate soil about ¼" 5 - 8 indents soil	1 4 3 5
Wit Soi P Desc Very Loos Medi Dens	n/Some       11-35 %         modifier such       > 35 %         Is silty, clayey, sandy, etc.         DENSITY OF         GRANULAR SOILS         riptive Term:       N—Value         Loose       0 - 4         e       5 - 10         um Dense       11 - 30         e       31 - 50	ConsistencyUndraine Strengt Per SVery Softless th 0.13 to 0.26 to StiffMedium Stiff0.26 to 0.51 to 1.01 toVery Stiff1.01 to	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb hardly ir indented with th Thumbnail will not i	Approximate N-Value Range	1 4 5 0
Wit Soi P Desc Very Loos Medi Dens Very	n/Some       11-35 %         modifier such       > 35 %         is silty, clayey, sandy, etc.         DENSITY OF         GRANULAR SOILS         riptive Term:       N—Value         Loose       0 - 4         e.       .5 - 10         um Dense       .11 - 30         e.       .31 - 50         Dense.       > 50	ConsistencyUndraine Strengt Per SVery Softless th 0.13 to 0.26 to StiffMedium Stiff0.26 to 0.51 to 1.01 to Hard	ed Shear h Tons q. Ft. 0.25 1.00 2.00 t than 2.00.	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb will not i indented with th Thumbnail will r	Approximate N-Value Range         etrate soil more than 1" 0 -         etrate soil about 1" 2 - 4         etrate soil about 1/4" 5 - 8         indents soil	1 4 3 5 0
Wit Soi P Desc Very Loos Medi Dens Very	h/Some       11-35 %         modifier such       > 35 %         Is silty, clayey, sandy, etc.         DENSITY OF         GRANULAR SOILS         riptive Term:       N—Value         Loose       0 - 4         e       5 - 10         um Dense       11 - 30         e       31 - 50         Dense       > 50	Consistency Undraine Strengt Per S Very Soft	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ ID SIEVE	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb hardly ir Thumb will not i indented with th Thumbnail will r	Approximate N-Value Range         etrate soil more than 1"0 -         etrate soil about 1"2 - 4         etrate soil about 1/4"5 - 8         indents soil	1 4 5 0 0
Wit Soi Peso Very Loos Medi Dens Very	11-35 %         modifier such	Consistency       Undrained Strengts Per S         Very Soft       less th         Soft       0.13 to         Medium Stiff       0.26 to         Stiff       0.51 to         Very Stiff       1.01 to         Hard       greate         SOIL GRA         ¾       4	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb will not i indented with th Thumbnail will r	Approximate N-Value Range	1 4 3 5 0 0
Wit Soi Pesa Very Loos Medi Dens Very	11-35 %         modifier such	Consistency Undraine Strengt Per S Very Softless th Soft	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb will not i indented with th Thumbnail will r E 40 SAND	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1" 2 - 4 etrate soil about 1/4" 5 - 8 indents soil	1 4 3 5 0 0
Wit Soi Peso Very Loos Medi Dens Very	11-35 %         modifier such	Undraine         Consistency       Undraine         Strengt       Per S         Very Soft       less th         Soft       0.13 tc         Medium Stiff       0.26 tc         Stiff       0.51 tc         Very Stiff       1.01 tc         Hard       greate         SOIL GRA <sup>3</sup> /4"       4         GRAVEL       COAR         19.1       4.76	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00 r than 2.00. IN SIZ RD SIEVE 10 SE MI 2.00	Field Test Thumb will pene Thumb ail will r Thumbnail will r E 40 SAND EDIUM FINE 0.42	Approximate N-Value Range           etrate soil more than 1" 0 - etrate soil about 1" 2 - 4 etrate soil about 14"	1 4 3 5 0 0
Wit Soi Peso Very Loos Medi Dens Very	11-35 %         modifier such	Undraine         Consistency       Undraine         Strengt         Per S         Very Soft       less th         Soft       0.13 to         Medium Stiff       0.26 to         Stiff       0.51 to         Very Stiff       1.01 to         Hard       greate         SOIL GRA <sup>3</sup> /4" 4          GRAVEL       COAR         19.1       4.76         SOIL GRAIN SIZE I	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10 SE MI 2.00 N MILLIMET	Field Test Thumb will pene Thumb will not i indented with th Thumbnail will r E 40 SAND EDIUM FINE 0.42 ERS	Approximate N-Value Range           etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 5 0 0
Wit Soi P Very Loos Medi Dens Very	11-35 %         modifier such	Undraine         Consistency       Undraine         Strengt         Per S         Very Soft       less th         Soft       0.13 tc         Medium Stiff       0.26 tc         Stiff       0.51 tc         Very Stiff       1.01 tc         Hard       greate         SOIL GRAA         3/4"       4         GRAVEL       E         ISSE       FINE       COAR         19.1       4.76       SOIL GRAIN SIZE I         SOIL GRAIN SIZE I       SOIL STR	ed Shear h Tons q. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10 SE MI 2.00 N MILLIMET UCTUR	Field Test Thumb will pene Thumb hardly ir Thumb will not i indented with th Thumbnail will r  E 40 SAND EDIUM   FINE 0.42 ERS	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 33 55 00 00
Wit Soi Peso Very Loos Medi Dens Very BC	11-35 %         modifier such	Undraine         Consistency       Undraine         Strengt         Per S         Very Soft       less th         Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 r than 2.00. IN SIZ RD SIEVE 10 SE   Mi 2.00 N MILLIMET UCTUR Parti Dad	Field Test  Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb hardly ir Thumb will not i indented with th Thumbnail will r  A0 AND EDIUM FINE 0.42 ERS C Ing – Inclusion less that	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 0 0
Wit Soi Pesa Very Loos Medi Dens Very BC BC	11-35 %         modifier such	Undraine         Consistency       Undraine         Strengt         Per S         Very Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 r than 2.00. IN SIZ RD SIEVE 10 SE MI 2.00 N MILLIMET UCTUR Parti Pock	Field Test Thumb will pene Thumb will not i indented with ti Thumbnail will r E 40 SAND EDIUM FINE 0.42 ERS CE ng – Inclusion less that for a fine fine fine fine fine fine fine fine	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 00
Wit Soi P Desc Very Loos Medi Dens Very BC BC	11-35 %         modifier such	Undraine         Consistency       Undraine         Strengt       Per S         Very Soft       less th         Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10 SE MI 2.00 N MILLIMET UCTUR Parti Poch	Field Test  Thumb will pene Thumb will not i indented with th Thumbnail will r  FINE 40 CAND EDIUM FINE 0.42 ERS CE ng – Inclusion less the smaller than the o layered – Soil sample	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1" 2 - 4 etrate soil about 14"	1 4 3 5 0 0 0
Wit Soi Peso Very Loos Medi Dens Very BC BC BC Slick	11-35 %         modifier such	Consistency       Undraine         Strengt       Per S         Very Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ SE M 2.00 N MILLIMET UCTUR Parti Pock Inter	Field Test Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb will not i indented with th Thumbnail will n E 40 SAND EDIUM FINE 0.42 ERS E ng – Inclusion less that ret – Inclusion of mate smaller than the o layered – Soil sample of different	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 0 0
Wit Soi Pesa Very Loos Medi Dens Very BC BC	11-35 %         modifier such	Consistency       Undraine         Strengt       Per S         Very Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. Than 2.00. SE MI 2.00 N MILLIMET UCTUR Parti Pock Inter	Field Test Thumb will pene Thumb will not i indented with th Thumbnail will r E 40 SAND EDIUM FINE 0.42 ERS CE ng – Inclusion less that set – Inclusion of mate smaller than the o layered – Soil sample of different mixed – Soil samples	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 0 0 0
Wit Soi P Desc Very Loos Medi Dens Very BC BC BC Slick	n/Some	Consistency       Undraine Strengt Per S         Very Soft       less th Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10 SE   MI 2.00 N MILLIMET VCTUR Parti Pock Inter	Field Test  Thumb will pene Thumb will pene Thumb will pene Thumb will pene Thumb hardly ir Thumb hardly ir Thumb will not i indented with th Thumbnail will r  40 SAND EDIUM FINE 0.42 ERS CE ng – Inclusion less that set – Inclusion of mate smaller than the o layered – Soil sample of different mixed – Soil samples soil types and	Approximate N-Value Range         etrate soil more than 1" 0 -         etrate soil about 1"	1 4 3 5 0 0 0 
Wit Soi Peso Very Loos Medi Dens Very BC BC BC Slick Slick	11-35 %         modifier such	Consistency       Undraine Strengt Per S         Very Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10 SE MI 2.00 N MILLIMET UCTUR Parti Pock Inter	Field Test Thumb will pene Thumb will not i indented with th Thumbnail will r E 40 SAND EDIUM FINE 0.42 E ag – Inclusion less that tet – Inclusion of mate smaller than the o layered – Soil sample of different mixed – Soil samples soil types and is not evident	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
Wit Soi Pesa Very Loos Medi Dens Very BC BC BC Slick Slick Laye Sean	11-35 %         modifier such	Consistency       Undraine Strengt Per S         Very Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. Than 2.00. SE MI 2.00 N MILLIMET UCTUR Parti Pock Inter Lami	Field Test Thumb will pene Thumb will not i indented with ti Thumb ail will r E 40 SAND EDIUM FINE 0.42 ERS CE ng – Inclusion less that set – Inclusion of mate smaller than the o layered – Soil sample of different mixed – Soil samples soil types and is not evident inated – Soil sample o or seams of o	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
Wit Soi P Desc Very Loos Medu Dens Very BC BC BC BC Slick Slick Laye Sean	11-35 %         modifier such	Consistency       Undraine Strengt Per S         Very Soft	ed Shear h Tons g. Ft. an 0.12 0.25 1.00 2.00 than 2.00. IN SIZ RD SIEVE 10 SE   MI 2.00 N MILLIMET VCTUR Parti Pock Inter Lami	Field Test  Thumb will pene Thumb hardly ir Thumb hardly ir Thumb ail will not i indented with th Thumbnail will not indented with th Thumbnail will not E 40 SAND EDIUM FINE 0.42 ERS E ng – Inclusion less that fiet – Inclusion of mate smaller than the o layered – Soil sample of different mixed – Soil samples soil types and is not evident finated – Soil sample o or seams of o	Approximate N-Value Range         etrate soil more than 1" 0 - etrate soil about 1"	1 4 3 5 0 0 0 

				UNIFIED SOIL C	ASSIFICATIO	N S	YSIEM		
		(1810)12	SYM	DESCRIPTION			PLASTICI	TY CHART	
N	IAJOR DIV	ISIONS	BOL			50			
L ()	Craval	Clean Gravels	GW	Well-Graded Gravel, Gravel-Sand Mixture		10			4
Soils arge Size	and	Gravels with	GM	Silty Gravel, Gravel-Sand-Silt Mixture	X (PI		CL	"A" Line	
ined : 0% L Sieve	Gravelly Soils	Appreciable	GC	Clayey-Gravel, Gravel-Sand-Clay Mixture	ND	30			н
Gra nan 5 200 (		Clean Sands	SW	Well-Graded Sand, Gravelly Sand		20			
arse ore th No	Sand and	Little or no Fines	SP SM	Poorly Graded Sand, Gravelly Sand	STIC				Н
ţţĞŬ	Sandy Soils	Appreciable	SC	Clayey Sand, Sand-Clay Mixture	PLA			&	
5.0		Fines	MI	Silt, Clayey Silt, Silty or Clayey Very Fine Sand, S	ght	0	10 20 30 40	0 50 60 70	80 90
oils malle Size	Silts and	Liquid Limit		Plasticity	-14.		Liquid I	_imit (LL)	
ed S 0% S Sieve			OL	Organic Silts, or Silty Clays of Low Plasticity	City		RELATIVE P	LASTICITY	
Grain an 5( 200 (	Silts and	Liquid Limit	MH	Silt, Fine Sandy or Silt Soil with High Plasticity		Nonpl	astic	Cannot Roll In	nto Ball
Fine- ore th n No	Clays	More Than 50	OH	Clay, High Plasticity Organic Clay of Medium to High Plasticity		Mediu	im Plastic	Can be Rolled	d Into Ball
(Mo thai	Highly	Organic Soils	PT	Peat, Humus, Swamp Soil		Highly	/ Plastic	No Rupture b	y Kneading
	•		•	VISUAL DES	CRIPTION CR	TFF	RIA*		
ΤΔF	BI F 1.	CRITERIA	FΟ	R DESCRIBING ANGLII ARITY		CR	TERIA FOR I	DESCRIBING D	RYSTRENGTH
171		OF COAR	SE-	GRAINED PARTICLES		ntion			<u>INTOTALIOTTI</u>
Ľ	Descrip	tion		Criteria	Descrit	puon	The drv s	pecimen crumb	les into powder
A	Angular	Pa	artic	les have sharp edges and relativel	·		with mere	pressure of ha	ndling
		pl	ane	sides with unpolished surfaces	Low		The dry s	pecimen crumb	les into powder
5	Subang	ular Pa	artic	les are similar to angular descriptio	n		The dry e	e iinger pressure	e into niceso or
			it na 	ive rounded edges	Mediu	п	crumbles	with considerat	ole finger
5	Subrour	nded Pa W	artıcı ell-ro	les have nearly plane sides but ha ounded corners and edges	'e		pressure		
F	Rounde	d Pa	artic	les have smoothly curved sides an	y High		The dry s	pecimen cannoi	t be broken with
		nc	o ed	ges			pieces be	tween thumb ar	nd a hard surface.
TA	BLE 2:	CRITERIA	A FO	R DESCRIBING PARTICLE SHAP	E Von /	liah	The drv si	pecimen cannot	t be broken
D	escript	tion		Criteria	Very I	iyn	between t	he thumb and a	hard surface
F	lat	Р	artic	eles with width/thickness X3	TABLE 9	: CR	ITERIA FOR D	ESCRIBING D	ILATANCY
Fi Ei	lat longate	P d P	artic Partic	les with width/thickness X3 les with length/width X3	TABLE S Descr	: CR ption	ITERIA FOR D	DESCRIBING D	ILATANCY
Fi Ei Fi	lat longate lat and	P d P P	Partic Partic Partic	eles with width/thickness X3 eles with length/width X3 eles meet criteria for both flat and	TABLE 9 Descr None	: CR ption	ITERIA FOR D No visible	DESCRIBING D Criteria change in the s	ILATANCY
	lat longate lat and longate	P d P ed e	Partic Partic Partic Iong	eles with width/thickness X3 eles with length/width X3 eles meet criteria for both flat and ated	TABLE S Descri None Slow	: CR	ITERIA FOR D No visible Water app	DESCRIBING D Criteria e change in the s pears slowly on	ILATANCY specimen the surface of the
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### **ROCK: TERMS AND SYMBOLS**

DESCRIPTION

Equigranular

Porphyritic

#### Field descriptions can include the following:

TERM	REFERENCE
Hardness	Table 1
Color	(1)
Crystallinity	Table 2
Bedding	Table 3
Weathering	Table 4
Fabric (if applicable)	Table 5
Jointing (including filling)	Table 6
Voids	Table 7
RQD	Table 8
Sorting Criteria	Figure 1
Angularity Criteria	Figure 2

#### TABLE 1: ROCK HARDNESS CRITERIA

DESCRIPTION Very Soft Soft Moderately Hard Hard

Very Hard

- Able to be scratched with a fingernail - Easily scratched with a knife; Cannot be scratched with the fingernail - Difficult to scratch with a knife

- Cannot be scratched with a knife

- Easily indented with the thumb

#### TABLE 2: ROCK CRYSTALLINITY

DESCRIPTION	CRITERIA
Aphanitic	<ul> <li>Crystals cannot be distinguished</li> </ul>
(Micritic)	with the naked eye
Very Finely	<ul> <li>Crystals are barely discernable</li> </ul>
Crystalline	with the naked eye
Finely	- Crystals are easily discernable
Crystalline	with the naked eye
Medium	<ul> <li>Crystals are medium size; up to</li> </ul>
Crystalline	1/8" in diameter
Coarsely	- Crystals are 1/8" to 1/4" in
Crystalline	Diameter
Very Coarsely	<ul> <li>Crystals are larger than 1/4"</li> </ul>
Crystalline	In diameter

TABLE3: ROCK MASS BEDDING DESCRIPTION CRITERIA - Less than 0.02 foot (<0.60 cm) Parting Band - 0.02 to 0.2 foot (0.60 to 6.1 cm) Thin Bed - 0.2 to 0.5 foot (6.1 to 15.2 cm) Medium Bed - 0.5 to 1.0 foot (15.2 to 30.5 cm) Thick Bed - 1.0 to 2.0 feet (30.5 to 61.0 cm)

#### TABLE 4: ROCK WEATHERING DESCRIPTION CRITERIA

Fresh

Weathered

Weathered

Weathered

Weathered

Residual Soil

Completely

Moderately

Slightly

Highly

Massive

- No visible signs of decomposition or discoloration - Slight discoloration inward from open fractures
  - Discoloration throughout, slight loss of strength, texture intact
  - Specimens can be broken by hand, texture indistinct, fabric intact

- Greater than 2.0 feet (>61.0 cm)

- Specimens easily crumbled,
- minerals decomposed to soil - Advanced state of decomposition
  - resulting in plastic soil

Amorphous	<ul> <li>No definite crystal form (Glass)</li> </ul>					
Platy	- Schistose or foliated, planar					
TABLE 6: ROCK JOINTING						
DESCRIPTION CRITERIA						
Very Wide	>3.25 ft.	(>3 m)				
Wide	1.1 – 3.25 ft.	(1-3 m)				
Moderately Wide	0.3 – 1.1 ft.	(0.3 – 1 m)				
Close	0.05 – 0.3 ft.	(0.05 – 0.3 m)				
Very Close	<0.05 ft.	(<0.05 m)				
	TABLE 7: ROCK \	/OIDS				
DESCRIPTION	C	RITERIA				
Dense	- Usually not disce	ernable with the naked eye				
Pit (Pitted)	- Discernable to 1	/4"				
Vug (Vuggy)	- 1/4" to diameter	of the core				
Cavity	- Larger than 6" in	diameter				
F	ROCK 8: ROCK QU	JALITY				
PERCENT RQD	(	QUALITY				
90 to 100		Excellent				
75 to 90		Good				
50 to 75	Fair					
25 to 50		Poor				
0 to 25	,	Very Poor				
F	IGURE 1: Sorting	Criteria				
Very will	Welt Mindstady motel sected	Poorty Very poorty sorted sorted				
ais	<b>L</b>	10				

TABLE 5: ROCK FABRIC

CRITERIA

- Grains essentially of equal size

- Mixed coarse and fine grains

#### FIGURE 2: Angularity Criteria





### **TYPE SPECIFIC CHARACTERISTICS**

CHARACTE	RISTICS OF DETRITAL SEDIMENTARY ROCKS	CHARACTERISTICS OF NON-DETRITAL SEDIMENTARY ROCKS			
ROOKTIL		ROOKTIL			
Conglomerates	Rounded fragments of any type rock; cementing agent chiefly silica, but iron, clay, and calcareous material also common/	Limestone	- Contains more than 50% calcium carbonate. The calcite can be precipitated chemically, organically, or it may be detrifue in origin. Reacts violently with dilute.		
Breccia	<ul> <li>Angular fragments of any type rock; resulting from glaciation, rock falls, cave collapse, and/or fault movements.</li> </ul>	Coquina	<ul> <li>Weak porous rock consisting of lightly cemented shells and shell fragments.</li> </ul>		
	MEDIUM-GRAINED	Chalk	- Soft, porous, and fine-textured; composed of shells of		
Sandstones	<ul> <li>Predominantly quartz grains cemented by silica, iron,clay or carbonate material. Color depends on cementing agent; porous and pervious; hard and generally thickly bedded.</li> </ul>	Dolomite	<ul> <li>microscopic organisms; usually white.</li> <li>Harder and heavier than limeston. Forms by alteration of limestone or by direct precipitation from sea water.</li> <li>Reacts with dilute HCL only when powered; hardness</li> </ul>		
Arkose Graywacke	<ul> <li>Similar to sandstone but at least 25% feldspar.</li> <li>Angular particles of a variety of minerals in a clay matrix; indurated, impure sandstone.</li> <li>FINE-GRAINED</li> </ul>	Gypsum Anhydrite	<ul> <li>&gt;5.</li> <li>An evaporate, commonly massive, white and soft.</li> <li>An evaporate, harder than gypsum; normally white with a pearly luster and splintery fracture.</li> </ul>		
Siltstone	<ul> <li>Composition similar to sandstone but at least 50% of grains 0.002 – 0.02mm in size. Rarely forms thick bade, but often bade</li> </ul>	Halite	An evaporate; a crystalline aggregate of sait.     ORGANIC ORIGIN     Composed of highly altered plant remains and varying.		
Shale	<ul> <li>Predominant particles, &lt;0.002mm; a wall defined fissile fabric. Commonly interbedded with</li> </ul>	Ohart	amounts of clay, generally black. BIOGENIC AND CHEMICAL ORIGIN		
Argillites	sandstone and relatively soft. - Hard, indurated shales devoid of fissility.	Cnert	<ul> <li>Formed by silica deposted from solution in water. May occur as nodules or relatively thick beds: hardness of</li> </ul>		
Clay Shale	- Moderately indurated shales.		7.		
Claystone	<ul> <li>Clay-size particles compacted into rock without a fissle structure (stiff to hard consistency).</li> </ul>	Diatomite	- Soft, white, very light, porous rock.		
CHA	RACTERISTICS OF IGNEOUS ROCKS	CHARAG	CTERISTICS OF METAMORPHIC ROCKS		
ROCK TYPE	CHARACTERISTICS	ROCK TYPE	CHARACTERISTICS		
	COARSE TO MEDIUM GRAINED		FOLIATED FABRIC		
Pegmatite	- Chiefly quartz and feldspar, occuring separately as	Gneiss	<ul> <li>Coarse-grained rock with imperfect follation resulting in slabbing. Chief minerals are quartz and feldspar</li> </ul>		
Granite	<ul> <li>Iarge grains; abundant as olkes in grante.</li> <li>Most common igneous rock; normally equigranular and light in color: chiefly guartz and feldspar.</li> </ul>	Schist	<ul> <li>Fine-grained rock with a well-developed foliation.</li> <li>Mainly consists of platy minerals and commonly</li> </ul>		
Syenite	<ul> <li>Light colored rock similar to granite but contains no quartz; almost entirely feldspar.</li> </ul>	Amphibolite	garnet. - Consists largely of amphibole with a schist-like		
Diorite	<ul> <li>Equigranular and gray to dark gray; composed of plagioclase feldspar and at least one ferromagnesian mineral</li> </ul>	Phyllite	<ul> <li>foliation. Commonly hard and very dense.</li> <li>Soft, with a satin luster and extremely fine schistosity; very unstable cut slopes.</li> </ul>		
Gabbro	<ul> <li>Dark colored rock composed of ferromagnesian minerals and plagiociase feldspar.</li> </ul>	Slate	- Extremely fine-grained (micritic) with a very well- defined cleavage; generally hard.		
Peridotite	<ul> <li>Dark colored rock composed almost entirely of ferromagnesian minerals, readily altertered.</li> </ul>	Meta-conglomerate	- Similar to conglomerate in appearance but has been		
Dunite	<ul> <li>Very dark green; major constituent is olivine.</li> <li>Readily alters to serpentine.</li> </ul>	Quartzite	- Extensively altered sandstone; individual sand grains		
Dolerite	- Dark colored rock, intermediate in grain size. FINE-GRAINED	Serpentine	have been fused together. - A green, soft, compact rock with a waxy luster and		
Andesite	- Generally dark gray, green or red, fine-grained rock; occasionally porphyritic.	Soapstone	splintery fracture. - Derived from talc; generally green in color and easily		
Basalt	<ul> <li>Most abundant extrusive rock; variable colors; fine- grained with a dense structure</li> </ul>		cut with a sharp knife; resists the action of heat and acids.		
Rhyolite	<ul> <li>Microcrystalline equivalent of granite; usually white, gray or pink with a few phenocrysts.</li> </ul>	Hornfels	<ul> <li>Rocks baked by contact metamorphism into a hard aphanitic material, with concoldal fracture and</li> </ul>		
Felsite	- A finely-crystalline variety of quartz-porphyry. GLASSY ROCKS	Migmatite	<ul> <li>generally dark gray to black in color.</li> <li>A complex intermixture of metamorphic and granular ignous rock formed by interference of granula program.</li> </ul>		
Obsidian	- Solid natural glass devoid of all crystal form.		into foliated rocks.		
Scoria	- Rock which has equal void space and solid mass.	Mylonites	<ul> <li>Produced by intense metamorphism; variable fabric due to deformation of original minerals. Common along the base of overthrust sheets.</li> </ul>		

### **GEOLOGIC DEFINITIONS**

**ARENACEOUS** – A term applied to rocks that have been derived from sand or contain abundant, >30%, sand in composition.

**ARGILLACEOUS** – A term applied to all rock or substances composed of clay minerals or having a notable portion, >30%, clay in composition. **BRECCIATED** – A rock texture with is composed of angular fragments which corresponds in size to gravel and/or pebbles.

**CONGLOMERITIC** – A rock texture which is composed of rounded fragments which correspond in size to gravel and/or pebbles.

**FABRIC** – That factor of the texture of a crystalline rock which depends on the relative sizes, shapes, and arrangements of the component crystals. **FISSILITY** – A property of splitting along closely spaced parallel planes. **OOLITIC** – A spherical or ellipsoidal texture, 0.25 – 2.0mm in diameter, with a concentric or radial structure.

**PHANERITIC** – A textural term applied to those igneous rocks in which all the grains are essentially the same size.

**PORPHYRITIC-** A textural term applied to those igneous rocks which have larger crystals set in a fine matrix.

**SLICKENSIDE** – A polished or striated surface on or within a rock or compact soil.

**STYLOLITE** – A term applied to parts of certain limestones which have a columnlike development that is grooved, sutured, or striated and irregular

Note: Tables, Figures and data adapted from: "Geotechnical Engineering Investigation Manual", Roy E. Hunt, McGraw-Hill Book Co., New york, NY, 1984., "Petrology Igneous, Sedimentary, and Metamorphic", Harvey Blatt & Ernest G. Ehlers, W. H. Freman & co., San Francisco, CA, 1982., and the U.S. Army Corps of Engineers.